

# "S&T Ingredients for the Back to Basics Recipe"

DUANE DEAL The Johns Hopkins University APPLIED PHYSICS LABORATORY



The right idea ...

2007 National Security Space Policy and Architecture Symposium





The right idea ....





- Peeking at what's happened -- the environment
- The right recipe: "Back to Basics"
- A few S&T perspectives & credentials (via a "1-Person Panel")
- Applying S&T capabilities to the end-to-end cycle
- Summary





# "Back to Basics" is the question ...

Then ---

# a government, industry, & lab mix is the best answer.

"Commitment to Space Partnerships"



# Peeking at what's happened:

The environment via 20-20 hindsight



# **External environment**

#### The Washington Post **Military Ordered To Trim Budgets** 5-Year Plans Must Be Cut By \$32.1 Billion By Renae Merle and Bradley Graham, Washington Post Staff Writer

Thus, the cuts are expected to come at the expense of expensive weapons programs such as Lockheed Martin Corp.'s F-35 Joint Strike Fighter and the DD(X) destroyer being developed by Northrop Grumman Corp. The military's procurement and research and development programs, from which defense companies most of their profits, are considered vulnerable, especially those that are behind schedule or over budget.

#### AEROSPACE DAILY & DEFENSE REPORT

#### **AF Space Program Woes Hurting Army Capabilities**

COLORADO SPRINGS, Colo. -- The commander of the U.S. Army Space and Missile Defense Command expressed concern on Jan. 24 about cost and schedule troubles in Air Force space programs, saying they have a negative effect on Army capabilities and reduce the confidence of Pentagon officials in Army programs.

### DefenseNews

**U.S. MDA May Cut \$1B Over 5 Years** By Gopal Ratnam

The Pentagon's Missile Defense Agency (MDA) proposes to axe nearly \$1 billion from its five-year budget plan to satisfy the Defense Department's budget priorities. .... the MDA will cut \$955 million from

its 2007-11 plan to meet Pentagon budget goals set out in an Oct. 19 directive from Gordon England, acting U.S. deputy secretary of defense. England's memo ordered agencies to find \$32.1 billion in cuts for 2007-11....

#### SPACE NEWS

GAO Says U.S. Air Force Has More Space Than It Can Handle **By JEREMY SINGER** Space News Staff Writer

WASHINGTON – The U.S. Air Force has started more space programs than it can afford, setting itself up for disruptive funding cuts and schedule delays, according to a government audit report delivered to Congress June 23. ....

-Trying to make technological leaps that are too difficult with next generation systems.

 Lack of a qualified workforce to support space acquisition programs. ....

### The Space Review

The US Navy: lost in space? by Taylor Dinerman



The cost and engineering problems the Air Force is having with their space programs and in trying to train a solid cadre of qualified and effective space personnel are all too familiar. Now it seems that, on a smaller scale, the Navy is stuck with a similar dilemma. This problem could become more serious in the future since, unlike the Air Force, the senior Navy leadership may not even be aware that there is anything wrong.

#### SPACE NEWS Acquisition Lost in Space

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Defense Acquisition Performance Assessment (DAPA)

- Cited prominent examples
  - Cost tripled, delays
  - Complex technology ... not sufficiently prototyped



- Emphases:
  - <u>Timing</u> as a Key Performance Parameter (KPP)
  - Budget to most <u>realistic cost estimates</u>; contract similarly (or be unexecutable from square one)
  - Choose <u>low risk solution</u> over best value; reward for <u>adhering to schedule</u> versus only paying for performance



Another independent view

## **Addressing National Security Space problems**

Ref: *"What Went Wrong in National Security Space?,"* remarks to Space Enterprise Council, U.S. Chamber of Commerce, by Loren Thompson, COO Lexington Institute, 13 Sep 05)

- Study revealed not-so-surprising major problems:
  - Unplanned <u>cost growth</u>
  - Excessive/unrealistic performance <u>requirements</u>
  - Poor <u>management</u> practices
  - High <u>workforce</u> turnover

### NSS Acquisition Policy 03–01

- Demands rigorous approach to technical baselines & performance requirements
- <u>Mandates early testing</u> of critical components



# The Cost "Axis of Evil"



The TSPR road

"We expect to achieve greater successes from every person, dollar, and hour we expend to acquire and sustain our current and new weapon systems."

> Darleen Druyun (then) Principal Deputy Assistant Secretary of the Air Force for Acquisition and Management

"The TSPR approach addresses General McPeak's assessment of acquisition and seeks to turn failures into successes ...

TSPR is certainly more than a passing catchy phrase or acronym .... "

Air Force Journal of Logistics Summer 2001











# The TSPR road dead-ends<sub>1</sub>

Military Aerospace Technology 15 Nov 2004 in Volume 3, Issue 3 Interview with Lt. Gen. Brian A. Arnold (then) Space and Missile Systems Center Commander



".... space programs will continue to be challenging by their very nature. As a result of a decade or more of acquisition reform and the Total System Program Responsibility [TSPR] concept, ... less government oversight led to less insight, and any initial cost savings due to manpower savings became cost overruns. We have eliminated TSPR as a process."



# The TSPR road dead-ends<sub>2</sub>

	United States Government Accountability Office
GAO	Report to Subcommittee on Strategic Forces, Committee on Armed Services, House of Representatives
November 2006	SPACE ACQUISITIONS
	DOD Needs to Take
	More Action to
	Address Unrealistic
	Initial Cost Estimates of Space Systems
GA0-07-96	
No	ovember 2006

"Total System Performance Responsibility, or TSPR--was intended to facilitate acquisition reform and enable DOD to streamline a cumbersome acquisition process and leverage innovation and management expertise from the private sector. However, DOD later found that this approach magnified problems related to requirements creep and poor contractor performance."



# "If you do not know where you are going, any road will take you there."

Cheshire Cat in Alice in Wonderland



# The Right Recipe: "Back to Basics"

"Preventing recurring nightmares"



# "Change is inevitable. Growth is optional."

Walt Disney



# Back to Basics in Acquisition

- Four-stage process
  - System Production
  - Systems Development
  - Technology Development
  - Science & Technology
- Reapportion Risk
  - Lower risk in Production
    - Use mature technology
  - Higher risk in S&T



NOTE: Presented by USecAF Sega, National Space Symposium, 5 Apr 06 Strategic Space & Defense, 11Oct 06

Integrity - Service - Excellence





# **Back to Basics**



aka "Focus on Fundamentals." Vince Lombardi



- Addresses DAPA concerns
  - Complex technology not sufficiently prototyped ... timing ... low risk solutions ... schedule
- Addresses independent assessments
  - GAO
    - Mature technology, funding stability, requirements, schedules
  - NSS Acquisition Policy 03–01
    - Early testing, baselines, requirements, evolutionary acquisition
  - Lexington Institute
    - Risks, schedule, requirements, cost growth
- Confirms "TSPR R.I.P."
- Addresses QDR requirements
  - New acquisition policies, procedures, and processes



Mittigating risks, preventing "disasters" ---

# A few S&T perspectives

"Been there, doing that"

AFRL, NRL, Draper, SDL, & APL





- USECAF Block Approach: vigorous experimentation to reduce risk
- AFRL Space Vehicles Directorate is embracing this philosophy
  - Strong program in space experimentation
  - 8 major flight experiments on docket
- AFRL legacy space S&T for risk reduction -- examples:
  - CRRES microelectronics & space sensor risk reduction
  - APEX solar cells and microelectronics risk reduction
- Current AFRL space S&T for risk reduction -- examples:

#### **Major Experiments**

- RR-AIRSS Risk Reduction Alternate IR Satellite System
- TacSat series small satellites with tactical utility

**Component Technologies** 

- Solar cells
- IR detectors and read-outs
- Cryocoolers
- Space electronics



# Examples of AFRL Space S&T for Risk Reduction



### RR-AIRSS: Risk Reduction -Alternate IR Satellite System

- OSD/AT&L mandated AIRSS program to provide hedge against further difficulties with SBIRS GEO satellites
- SMC & AFRL using USECAF Block Approach to reduce AIRSS risk
- Develop, build, and flight qualify widefield-of-view, full-Earth staring sensor
- FX-AIRSS flight experiment: investigate data processing & full-Earth backgrounds

   Seeking FY10 launch to GEO



Wide-Field-of-View Full-Earth Staring Sensor

### TacSats and Operationally Responsive Space

- ORS S&T mandated by Congress
- Mission: timely satisfaction of JFC needs
- S&T goal: mature technology to TRL 7
- ORS S&T Roadmap to guide S&T
- TacSat-2: launched on 16 Dec 06
  - Panchromatic imager
- TacSat-3: launch in 2008
  - Hyperspectral imager





TacSat-2





### NRL History: Making Space Tactically Relevant to the Joint Community



1956	Blossom Point "Mini-Track"		1 <sup>st</sup> Satellite Ground Tracking Station, Transitioned to NAVSPASUR
1958	Vanguard Satellite & Rocket		Nation's Oldest Orbiting Satellite. Rocket Transitioned to New NASA & Created Foundation for Delta Rockets.
1960	GRAB / Poppy		1st U.S. Reconnaissance Satellite & First National ELINT Operational System
1974	Timation/NTS		1st Global Positioning System (NAVSTAR GPS) Satellite/Time From Space
1983	FLTSATCOM (Early NRL Payloads-Op Sys. for Navy-Not by NRL)	Ref.	Navy Satellite Systems for Tactical Users (FLTSAT 1 launched 1978). MUOS is Next Generation System in Development for First IOC in ~2010.
1987- 1993	TRAP/TRE	LIPS MATT	Global Tactical Broadcast System Lead to TRAP/TRE and IBS
1994	Clementine	Sec.	Multiple Components Developed With Industry and Flown for First Time: Frangibolts, Common Pressure Vessel Battery, etc. Rotary Award for 1 <sup>st</sup> "Faster Cheaper Better" Satellite
1996	Onboard Processor		Largest Supplier of Tactical Direct Downlink Reporting
2002	WindSat	F	Wind Vector From Space Transitioned to NPOESS
2004	TacSat-1		First ORS TacSat Experiment Completed May 2004 within 1 year (Awaiting Launch). Led to TacSat Series and Broader ORS Efforts.



NRL has the Full Range of Facilities for Assembly, Integration, Test, and Flight Operations. Personnel are Experienced from Many Programs and Constant Use.



### ORS in "Back-to-Basics" Construct is Useful for Articulating Strengths (1 of 2)





- NETWARCOM probably best fits between tech dev & system integration in this construct, but fundamentally not the best construct to explain their role
- OPNAV needs/gaps assessments & rqmts guide tech dev and system integration; SPAWAR performs system integration & production for MUOS/UFO
- TENCAP supports some tech development but mostly focuses on exploiting on-orbit production systems

# Draper Laboratory Role in Space System S&T

- An independent, not-for-profit corporation dedicated to solving the nation's most challenging problems by ...
  - Helping our sponsors clarify their requirements and conceptualize innovative solutions to their problems
  - Demonstrating those solutions through the design and development of fieldable engineering prototypes
  - Transitioning our products and processes to industry for production and providing follow-on support
- An acquisition strategy that utilizes national labs as development partners & trusted agents can reduce development risk for first-of-akind systems
  - Labs support design, early prototype and initial production
  - Provides proven non-proprietary design
  - Transitions mature design to Industry for production

### An objective engineering resource linking research to production



# **Draper Lab Risk Reduction Examples**



Shuttle/ISS Large Space Structure Control NASA/JSC



Assured Landing & Hazard Avoidance JSC/LaRC/JPL



NASA Design Team for ARES Upper Stage Avionics NASA/MSFC



Inertial Pseudo Star Reference Unit

34 nRad Jitter Stabilization



Inertial Stellar Compass on TacSat-2

3 kg Stellar Inertial System



X-38 Fault Tolerant Parallel Processor 2-Fault Tolerant Flight Computer



# **SPACE DYNAMICS LABORATORY**

### A not-for-profit corporation owned by Utah State University

• Founded in 1959

**Space Dynamics** 

- 350 employees
- 500+ successful missions
- 200,000+ ft<sup>2</sup> of state-of-the-art facilities
- DoD designated UARC with the following core competencies:
  - Electro-optical sensor systems research and development Innovative sensor components and systems Cryo-systems, thermal design, development, and handling Data processing, handling, and analysis Sensor calibration, characterization, test and evaluation
  - 2. Ground, airborne and space rated instruments and payloads development, test and evaluation, integration, validation and operations
  - 3. Data compression/decompression and data visualization for sensor analysis, data exploitation and data fusion
  - 4. Phenomenology measurements, modeling, and simulation
  - 5. Sensor modeling and simulation
  - 6. Small/micro satellite sensor systems and components.







## mics SDL: Provider of Space Technologies

#### • Extensive sensor systems experience

- Design, development, and prototyping
- Performance assessments
- Modeling and simulation

Space Dynan

- Expertise, equipment, and facilities to calibrate and characterize electro-optical sensors
  - Internationally recognized for expertise in calibrating complex sensor systems, analyzing calibration data, and disseminating calibration information
- Proven ability and flexibility to work with the customer in addressing real world challenges
- Technology transfer to Government and Industry
- Opportunity to help shape the future by training undergraduate through post-doc students. Industry and Government staff can advance their education while working at a UARC







### Representative SDL Sensor Programs







# The Johns Hopkins University APPLIED PHYSICS LABORATORY



- Not-for-profit University-Affiliated Research Center
- Staff: 4,000+ employees
   (70% scientists & engineers)
- Business areas: Air & Missile Defense Biomedicine Civilian Space Homeland Protection Infocentric Operations National Security Space Precision Engagement Science & Technology Strategic Systems Undersea Warfare Warfare Analysis





### **APL Space -- in the news**

#### SPACE NEWS Nov 13, 2006



The U.S. Air Force successfully launched a military weather satellite Nov. 4 from Vandenberg Air Force Base, Calif., aboard a Boeing Delta 4 rocket. The Defense Meteorological Satellite Program (DMSP) F-17 satel-lite, built by Lockheed Martin Corp., is the second satellite in Block 5D-3 seis the second satellite in block ob-5 se-ries of upgraded platforms featuring more computing and battery power than previous models, Lockheed Mar-tin said in a Nov. 4 press release. "We have a healthy satellite on or-

bit that will carry out its vital mission of supporting our warfighters." Michael O'Hara, Lockbeed Martin DMSP program director, said in a pre-pared statement. The Air Force typi-

U.S. Air Force's DMSP Launched By a Delta 4 cally maintains two DMSP satellites in near-polar orbit to collect meteorological, oceanographic and other data on a global scale in support of U.S. military planning and operations. Now in its fourth decade, the DMSP program is managed by the Air Force Space and Missile Systems Center at Los Angeles Air Force Base. Three DMSP 5D-3 satellites are in storage at Lockheed Martin awaiting

The launch was the seventh for the Delta 4 since its introduction in No-vember 2002, and the third in the medium-class configuration, Boeing Integrated Defense Systems of St Louis said in a Nov. 4 press release.

TOP TECH 2006

Dec 2006

#### SPACE NEWS Nov 13, 2006 **NEWS BRIEFS**

SPACE NEWS

**NEWS BRIEFS** 

**NASA's STEREO Solar** 

**Observation Mission Begins** 

A pair of solar observation satellites

was successfully launched into orbit Oct.

26 by a Boeing-built Delta 2 rocket. The

nearly identical Solar Terrestrial Rela-

tions Observatory (STEREO) will gener-

ate the first near real-time, 3-D images of

coronal mass ejections, immense erup-

tions from the Sun that spew high-energy

particles that can pose a radiation hazard

for astronauts and satellites, as well as in-

terfere with power and communications

versity's Applied Physics Laboratory built

the STEREO spacecraft for NASA, and

will oversee the \$550 million mission.

Engineers at the Johns Hopkins Uni-

STEREO's main mission is to image

Oct 30, 2006

the Sun.

systems on Earth.

BEST

WHAT

#### **AFRL Picks 3 to Do Space** Surveillance Sensor Designs

The U.S. Air Force Research Laboratory (AFRL) recently awarded three contracts worth \$1 million each for initial design work on a prototype space-based surveillance sensor that could keep tabs on objects in geostationary orbit, according to an AFRL spokesman.

Johns Hopkins Applied Physics Laboratory, Ball Aerospace and Technologies Corp., and Goodrich Aerospace won the Lightweight Electo-Optical Space Sensor contracts (LEOSS), according to Michael Kleiman, an AFRL spokesman.

Follow-on work could include a flight demonstration, according to a Johns Hopkins news release issued on Nov. 8, but Kleiman said in a Nov. 9 e-mail that the Air Force is still defining the extent of the work that could follow those three contracts.



APL-generated image from the Advanced High Resolution Radiometer (AVHRR) on the NOAA polar-orbiting satellites





#### SPACEFLIGHT NOW The leading source

Nov 28, 2006 New Horizons probe makes its first Pluto sighting



#### A white arrow marks Pluto in this New Horizons Long Range Reconnaissance Imager (LORRI) picture. Seen at a distance of about 4.2 billion kilometers (2.6 billion miles) from the spacecraft. Pluto is little more than a faint point of light among a dense field

of stars. Credit: NASA/Johns

Hopkins University Applied Physics Laboratory/Southwest

Research Institute

**AVIATION WEEK** CONFORMA THE FUTURE

Oct 30, 2006





# A tradition of "Firsts" in space since 1958

1958 Satellite Navigation System 1961 Nuclear-powered spacecraft. 1963 Gravity gradient stabilization 1967 Color picture of the full Earth-**1972** Drag-compensated satellite 1975 Pulsed plasma thrusters **1982 Autonomous satellite navigation with GPS** 1984 Artificial comet 1986 Intercept of a thrusting target in space 1988 Autonomous target acquisition and track **1996** Hyperspectral Imager in space (MSX) 1996 Invention of Polymer Battery 2001 Landing on an asteroid (NEAR) 2003 Re-Configurable Self-Repairing Processor (on FEDSAT) 2004 Orbital Mercury exploration mission launched (MESSENGER)











2006 Mission to Pluto (New Horizons)



# APL's "Space Portfolio" ... developing new space capabilities

- APL -- 64 spacecraft, 150+ payloads since 1958
- Produce operational prototypes
  - e.g., TRANSIT to Midcourse Space Experiment (MSX)
- National Security Space roles
  - Technical Direction Agent
    - Studies and analyses, technology advice
    - Data analyses, decision aids
  - Advanced Technology Development
    - S&T components
    - Sensors
  - Implement Space Missions
    - Mission Design
    - Build spacecraft, integration, T&E, operations
    - Applications





Unique bridge between NASA space and DoD/IC needs





# **APL spacecraft – 1996-2006**



# Ground segment experience – APL actively operates 6 spacecraft







#### **Connectivity:**

Air Force Satellite Control Network (AFSCN)

TIMED & STEREO (x2)

- Deep Space Network (DSN)
- Universal Space Network (USN)
- Tracking and Data Relay Satellite (TDRS)

Decades of hands-on operational experience







# "Back to Basics" is the question ...

Then ---

# a government, industry, & lab mix is the best answer.

"Commitment to Space Partnerships"



# Applying S&T capabilities to the end-to-end cycle

"Ready, willing, and quite able"

# **EXERCITI FUNCTION CONTACT Choose your (preventable) "disaster"**...



Satellite toppling



Mars Climate Orbiter



Sago Mine



Genesis



Enron



Pipeline leak



Comair 5191



#### USS San Francisco



Katrina



Challenger



Mars Polar Lander



Denver highway beam



Refinery fires



**USS Greeneville** 

Tank versus road





The Big Dig









# **Developing "crack stoppers"**





Per Mr. Payton, DUSecAF:

- Liberty ships' structural failures "crack stoppers" saved the day
- Common thread between space disasters & other disasters
- Root causes similar, identifiable and <u>can</u> be *mitigated*
- Acquisition problems <u>are</u> disasters
  - National security capabilities absent/diminished/delayed
  - ~\$12B remediation impacts other areas (= Space Pearl Harbor?)
- Need to stop those "cracks" to deliver what's promised
  - Technical/schedule risks, cost estimates, requirements



# Labs as "crackstoppers"



### Four-stage process

- System Production
- Systems Development
- Technology Development
- Science & Technology
- Reapportion Risk
  - Lower risk in Production
    - Use mature technology
  - Higher risk in S&T

Labs' "Sweet spot"











# **Defining Requirements**

#### **Capabilities Improvement Needs Definition**







# **Capability Assessment**



### **Capability Assessment**

#### **Data Collection**

#### **Mission Performance Analysis**



Managing Risks: • Program Schedule Cost Scope • Technical - Performance Drawings • Quality {Non-conformances Changes • Institutional {Process deviations Training



**Concept Exploration** 



### **Develop Enabling Science & Technology**

Hypothesis, Concept Development Trade-offs, & Critical Experiments Modeling and Simulations







# **Solution Validation**



Technology Knowledge Transfer (NLT this step)



# **Solution Implementation**



### **Product Development & Production**

**Test & Evaluation** 

**Performance Verification** 











### **Operational Data Collection**





### Assume mission-oriented, end-to-end development ... A Systems Approach









"Focus on Fundamentals." Vince Lombardi



### Interactive Government / Industry / Lab partnership to:

- Freeze requirements (minimize ECPs)
- Make rigid, realistic schedule start to launch (target XX months)
- Shape external environment during program (level funding)
- Small multi-expert, experienced, collocated team
- Team authority to do the missions
- Spacecraft and instruments designed to cost
- Minimize low TRL components / TRL maturation
- Get long lead items early
- Use lead engineer and method for all subsystems
- Design in reliability and redundancy
- Have R&QA engineer reporting directly to project manager
- Have single agency manager to interface with contractor



# **Summary**

"Committing to space partnerships"

















# "Back to Basics" is the question ...

Then ---

# a government, industry, & lab mix is the best answer.

"Commitment to Space Partnerships"



# Thanks.